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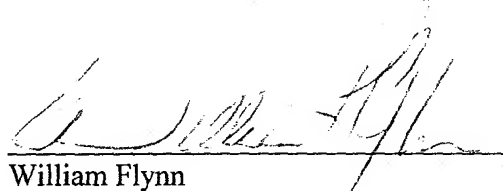
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CERTIFICATE OF MAILING, FIRST CLASS MAIL

I, the undersigned, hereby certify that the foregoing documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner of Patents and Trademarks, Washington, DC 20231 on this 15rd day of March 2002.

1. Notice To File Corrected Application Papers (1 pg.)
2. Drawings (6pg., Fig 1-6)
3. Our Check for \$42.00 Claim Fee
4. Return Postcard
5. Certificate Of First Class Mail


William Flynn

March 15, 2002

Inventor: Robert McLister
For: Financial market transaction device
Serial No.: 10/027,878
File No.: M521-002-PAT
Date Filed: October 26, 2001

10027878-040402



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,878	10/26/2001	Robert McLister	M521-002-PAT

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CONFIRMATION NO. 1001

FORMALITIES LETTER

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Date Mailed: 03/01/2002

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- Total additional claim fee(s) for this application is \$42.
 - \$42 for 1 independent claims over 3 .
- The balance due by applicant is \$ 42.

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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